

Ontario  
Racing  
Commission

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December 20, 2011

## **POLICY DIRECTIVE NO. 3 – 2011** **Licensing of Horsepersons**

*The Ontario Racing Commission (ORC) at its meeting of Monday, October 24, 2011, resolved that the following directive be approved, effective January 1, 2012.*

WHEREAS amendments to *the Pari-Mutuel Betting Supervision Regulations* ("Regulations") are to come into force on January 1, 2012;

AND WHEREAS the amendments to the Regulations include the following:

*Section 3(1) No association shall conduct pari-mutuel betting unless*  
*(c) the association, if it conducts 10 or more days of racing per year, has provided*  
*i) a racing licence issued by the appropriate Commission,*  
*ii) the race dates approved by the appropriate Commission,*  
*iii) evidence of an executed agreement for the period of the proposed pari-mutuel betting, between the association and the **horsepersons who have been approved by the appropriate Commission**, addressing the sharing of revenues between the association and those horsepersons"*

Further, the Regulations have defined "horseperson" as follows:

*"means any person, group or organization that has an interest in the sharing of purses drawn from an association's percentage and the scheduling of races by the association but does not include an officer or employee of an association."*

AND WHEREAS as a result of the anticipated amendments to the Regulations, the Ontario Racing Commission ("ORC") is now required to "approve" horsepersons;

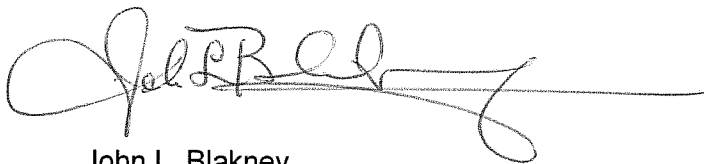
AND WHEREAS the ORC has determined that the mechanism for "approving" horsepersons will be conducted through licensing of horsepersons in accordance with the *Racing Commission Act, 2000*;

**Horseperson seeking to be licensed by the ORC, for the purpose of satisfying the Regulations must submit the following on an annual basis:**

1. ORC Licence Application Form;
2. ORC Licence Application Fee;
3. Articles of Incorporation or Letter Patent;
4. By-laws;
5. Membership List (for groups or organizations);
6. Shareholders List (if applicable);
7. Board of Directors List (for groups or organizations);
8. Financial Statements (audited);
9. Certificate of Interest – Purse (must acknowledge and identify the horsepersons interest and the nature of the interest in the sharing of purses drawn from an association's percentage);
10. Certificate of Interest – Race Scheduling (must acknowledge and identify the horsepersons interest and the nature of the interest in the scheduling of races by an association);
11. Any other documents as directed in writing by the Director.

Upon the issuance of an ORC licence, the Director will advise the Canadian Pari-Mutuel Agency that the horsepersons have been "approved" (and for the purposes of the ORC, "approval" means "licensed") and meets the definition of "horseperson" as interpreted by the Director.

**BY ORDER OF THE COMMISSION**

A handwritten signature in black ink, appearing to read 'John L. Blakney', with a long horizontal line extending to the right.

John L. Blakney  
Executive Director